

 Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR 1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 11847-002001	Application No. 09/667,894
	Applicant Larry Scheinberg et al.			
	Filing Date September 22, 2000		Group Art Unit	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
/TH/	AA	4,903,201	2-20-90	Wagner	364	408	
/TH/	AB	4,980,826	12-25-90	Wagner	364	408	
/TH/	AC	5,832,462	11-3-98	Midorikawa et al.	705	35	
/TH/	AD	6,014,627	1-11-00	Togher et al.	705	1	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
/TH/	AQ	"Clearing 21", Press Office Archives, http://www.matif.fr/new/new_press193.htm ; December 1998, pps. 1-14
/TH/	AR	"Screen-Based Trading Systems For Derivative Products", International Organization of Securities Commissions, Report of the Technical Committee, June 1990, pps. 1-52.
	AS	
	AT	

Examiner Signature /Thu Thao Havan/	Date Considered 02/12/2008
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	